



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/021,735	11/05/2001	Joanne Paquin	6670/0K001US0

07278  
DARBY & DARBY  
805 THIRD AVENUE, 27TH FL  
NEW YORK, NY 10022



CONFIRMATION NO. 5231

## FORMALITIES LETTER



\*OC00000007377116\*

Date Mailed: 01/30/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$51.
  - \$9 for 1 total claims over 20.
  - \$42 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 486.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/12/2002 NH000001 00000015 10021735  
01 FC:201 370.00 OP  
02 FC:203 9.00 OP  
03 FC:202 42.00 OP  
04 FC:205 65.00 OP

EXPRESS MAIL CERTIFICATE

Date 2/6/02 Label No. 2767723455US

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

Name (Print) D B Peck

Signature [Signature]

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 6670/OK001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joanne PAQUIN; Mircea-Alexandru MATEESCU;  
Eric DE GRANDPRE

Serial No.: 10/021,735 /

Confirmation No.: 5231

Filed: November 5, 2001

For: NEUROPROTECTIVE COMPOSITION AND USES THEREOF

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

The following items are submitted herewith in completion of the above-identified patent application:

1. Declaration and power of attorney
2. Payment in the amount of ~~\$526~~ <sup>\$566</sup> ~~\$421~~ <sup>\$486</sup> filing; ~~\$40~~ <sup>\$80</sup> recording; ~~\$65~~ late) in the form of:  
☒ check  
☐ deposit account no. 04-0100  
☐ credit card (see attached form)  
(See attached Fee Computation Sheet)

3. [X] Assignments for recording from:  
a) Joanne PAQUIN; Mircea-Alexandru MATEESCU; and Eric DE GRANDPRE to  
UNIVERSITE DU QUEBEC A MONTREAL; and  
b) UNIVERSITE DU QUEBEC A MONTREAL to GESTILAB INC.
4. [X] Applicant claims small entity status.
5. A copy of Notice to File Missing Parts of Application.

Priority is claimed for this application, corresponding application/s having been filed as follows:

Country: Canada  
Number: 2,270,795  
Date: ~~June 14, 2000~~ *MAY 5, 1999*

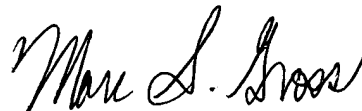
The priority document ☐ are enclosed  
☐ will follow.  
☒ was previous filed in the International  
Application.

6. An Affirmation of Priority Claim.
7. An Associate Power of Attorney.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: February 6, 2002



Marc S. Gross  
Reg. No. 19,614  
Attorney for Applicant(s)

DARBY & DARBY P.C.  
805 Third Avenue  
New York, NY 10022  
212-527-7700

PATENT FEE COMPUTATION SHEET

	No. of Claims Presented	Extra Claims Previously Paid For	Number of Extra Claims	Rate
Basic Fee . . . . .				\$740.00
Total Claims	21 - 20	- 0 = 1	x \$18.00	\$18.00
Independent Claims	4 - 3	- 0 = 1	x \$84.00	\$84.00
Multiple Dependent Claims		- if so, add	\$280.00	\$0.00
Surcharge for late submission of filing fee and/or declaration (\$130.00) . . . . .				\$130.00
SUBTOTAL . . . . .				\$972.00
[X] Small Entity REDUCTION (Half of Subtotal) . . . . .				\$486.00
Fee for recordation of assignment (\$40.00) . . . . .				<del>\$40.00</del> <b>\$80.00</b>
Charge for filing non-English language application (\$130.00)				\$0.00
TOTAL . . . . .				<del>\$526.00</del> <b>\$566.00</b>